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#2602

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3698D6
In Re Application Of: John Hendricks			
Serial No. 09/966,759	Filing Date October 1, 2001	Examiner N/A	Group Art Unit 2602
Title: Tiered Programming Television Delivery System			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231		Technology Center 2600	
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3698D6

In Re Application: John Hendricks

Serial No.  
09/966,759

Filing Date  
October 1, 2001

Examiner  
N/A

Group Art Unit  
2611

Tiered Programming Television Delivery System

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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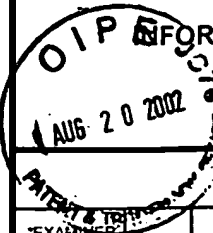
\*This certificate may only be used if paying by deposit account.

  
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Signature

Dated: August 20, 2002

Sung Kim  
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**INFORMATION DISCLOSURE CITATION**  
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ATTY DOCKET NO.  
3698D6

SERIAL NO.  
09/966,759

John Hendricks

FILING  
October 1, 2001

GROUP  
2611

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,357,276	10/18/1994	Banker, et al.	725	102	
	2.	5,253,066	10/12/1993	Peter Vogel	725		
	3.	4,996,597	2/26/1991	David Duffield	348		
	4.	5,020,129	5/28/1991	Martin, et al.	725		
	5.	4,975,951	12/4/1990	Christopher Bennett	380	231	
	6.	5,027,400	6/25/1991	Baji, et al.	725	116	
	7.	5,172,413	12/15/1992	Bradley, et al.	725	121	
	8.	5,206,722	4/27/1993	Shue-Yu Kwan	725	100	
	9.	5,247,347	9/21/1993	Litteral, et al.	725	114	
	10.	5,223,924	6/29/1993	Jobst Gellert	425	130	
	11.	5,253,275	10/12/1993	Paul Yeut	375	240	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	JP4233886	8/21/1992	Japan				
	2.	EP0402809A2	12/19/1990	Europe				
	3.	WO 86/01962	3/27/1986	WIPO				
	4.	WO 89/09528	10/5/1989	WIPO				
	5.	WO 90/10988	9/20/1990	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Marco Dinero et al.; Markets and Product Overview; 1991; Pages 135-148
	2.	John Reimer; Memories on My Pocket; February 1991; Pages 251-258

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,343,594	9/6/1994	Youichirou Tsuda	455	18	
	13.	4,961,109	10/2/1990	Hideo Tanaka	725	2	
	14.	4,829,558	5/9/1989	Russell Welsh	379	92.04	
	15.	5,355,162	10/11/1994	Lauren Yazolino	725	116	
	16.	5,182,639	1/26/1993	Jutamulia, et al.	348	32	
	17.	4,879,736	11/7/1989	Bergman, et al.	378	181	
	18.	6,025,837	2/15/2000	Matthews, et al.	345	721	
	19.	5,410,344	4/25/1995	Guanes, et al.	725	46	
	20.	5,155,591	10/13/1992	David Wachob	725	35	
	21.	5,323,240	6/21/1994	Amano, et al.	348	731	
	22.	4,724,491	2/9/1988	Tevor Lambert	386	1	


**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6.	EP0355697A2	2/28/1990	Europe				
	7.	WO 92/12599	7/23/1992	WIPO				
	8.	WO 91/00670	1/10/1991	WIPO				
	9.	WO 96/08109	3/14/1996	WIPO				
	10.	WO 89/12370	12/14/1989	WIPO				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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	John Hendricks	
	FILING October 1, 2001	GROUP 2611

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	23.	5,339,315	8/16/1994	Maeda, et al.	725	103
	24.	4,697,209	9/29/1987	Kiewit, et al.	725	19
	25.	5,481,294	1/2/1996	Thomas, et al.	725	20
	26.	5,477,263	12/19/1995	O'Callaghan, et al.	725	102
	27.	5,515,098	5/7/1996	John Carles	725	35
	28.	5,285,272	2/8/1994	Bradley, et al.	725	115
	29.	5,481,296	1/2/1996	Cragun, et al.	725	136
	30.	5,469,206	11/21/1995	Strubbe, et al.	725	60
	31.	4,694,490	9/15/1987	Harvey, et al.	380	234
	32.	5,661,516	8/26/1997	John Carles	725	35
	33.	4,381,522	4/26/1983	Trevor Lambert	725	93

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
11.	WO 92/17027	10/1/1992	WIPO			
12.	EP0620689A1	10/19/1994	Europe			
13.	EP0424648A2	5/2/1991	Europe			
14.	WO 99/26415	5/27/1999	WIPO			
15.	WO 99/66719	12/23/1999	WIPO			

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	34.	5,339,239	8/6/1994	Marabe, et al.	705	1	
	35.	4,751,578	6/14/1988	Reiter, et al.	348	564	
	36.	5,384,588	1/24/1995	Martin, et al.	348	14.1	
	37.	5,124,980	6/23/1992	Gerald Maki	370	498	
	38.	4,533,948	8/6/1985	Robert McNamara	380	211	
	39.	6,029,045	2/22/2000	Picco, et al.	725	34	
	40.	5,774,170	6/30/1998	Hite, et al.	725	34	
	41.	5,512,934	4/30/1996	Gregory Kochanski	725	97	
	42.	4,816,904	3/28/1989	McKenan, et al.	725	97	
	43.	5,957,695	9/28/1999	Redfore, et al.	434	307R	
	44.	5,479,508	12/26/1995	Bestler, et al.	380	240	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	GB2344009A	5/24/2000	United Kingdom				
	17.	WO 99/52285	10/14/1999	WIPO				
	18.	EP 0 187 961 A2	7/23/86	EP				

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	45.	5,640,196	6/17/1997	Behrens, et al.	348	14.02	
	46.	5,497,187	3/5/1996	Banker, et al.	725	14	
	47.	5,758,257	5/26/1998	Herz, et al.	725	116	
	48.	5,367,330	11/22/1994	Haave, et al.	725	191	
	49.	4,455,570	6/19/1984	Yoshifumi, et al.	725	92	
	50.	5,329,590	6/12/1994	Russell Pond	380	242	
	51.	6,025,837					

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